<u> </u>	Substitute for form 1449A/PTO		<u> </u>		COMPLETE IF KNOWN
]	NFORMATION DISCLOSURE STATEMENT BY APPLICANT	CLOSURE	Application Number	09/835,694	
		7 A 10		Filing Date	April 16, 2001
	SIAIEMENIBI	AP	PLICANI	First Named Inventor	J.J. Donnelly
				Group Art Unit	1636
	(use as many sheet	s as n	necessary)	Examiner Name	Garvey, Tara L.
Sheet	1	of	8	Attorney Docket Number	18972PCA

		Ŭ.	S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.	j Number (Kind Code known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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Af HA	В	4,806,463		Goodchild et al.	02/21/1989
Al H	С	4,224,404 /		Viza et al.	09/23/1980
Stotte	D	4,394,448 13 2004 E		Szoka et al.	07/19/1983
Stor	E	4,689,320 p		Kaji .	08/25/1987
ALM	F	5,589,466		Felgner	12/31/1996
Alth	G	5,316,910 RADE		Rota	05/31/1994
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Examiner Initials*	Cite No.	Office	Foreign Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
JJ.M	H	PCT	WO90/11092		Vical, Inc	10/04/1990
SHI	I	PCT	WO86/00930		Worcester Foundation for Experimental Biology	02/13/1986
Afth	J	PCT	WO94/06468		SmithKline Beecham Corp	03/31/1994
ALL	K	PCT	WO93/15763		SmithKline Beecham Corp	08/19/1993
ALH	L	PCT	WO93/12756		The Regents of the Univ of California	07/08/1993
Ship	M	PCT	WO93/12240		The Regents of the Univ of California	06/24/1993
43.M	N	PCT	WO93/03709		Vical Inc.	03/04/1993
3 544	0	PCT	WO91/17424		Vical Inc.	11/14/1991
25 th	P	PCT	WO91/16024		Vical Inc.	11/13/1991
A BA	Q	PCT	WO90/01543		Intracel Corp.	02/22/1990
Examine Signatur	er re	0	Sara L. Garrey	-5	Date Considered 2/s	25/05

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	i Substitute for form 14498/PTC	•			COMPLETE IF KNOWN
	INFORMATIO	N DIS	CLOSURE	Application Number	09/835,694
	STATEMENT 1	RVAD	DI ICANT	Filing Date	April 16, 2001
'	STATEMENT	DI AI	LICANI	First Named Inventor	J.J. Donnelly
	(Group Art Unit	1636
	(use as many sh	eeis as n	ecessary)	Examiner Name	Garvey, Tara L.
Sheet	2	of	8	Attorney Docket Number	18972PCA

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.					
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SH	AB	et al. 'Immunization with DNA Encoding a Conserved Inter nal Viral' Modern Approaches to New coines, Including Prevention of Aids, p. 98 (9/16-9/29/92)					
SHA	AC	Rhodes et al., 'A Novel Method of Inducing Cellular and Humoral Immunity' Moderan Approaches to New Vaccines, Including Prevention of Aids', p. 91 (9/16-9/29/92)					
SA	AD	Donnelly et al., 'Immunization with Influenza a Nucleoprotein' Modern Approaches to New Vaccines, Including Prevention of Aids', p. 23 (9/16-9/29/92)					
SH	AE	Parker et al. 'Intramuscular Vaccination of Plasmid DNA Contianing', Modern Approaches to New Vaccines, Including Prevention of Aids', p. 47 (9/16-9/29/92)					
Sjøl	AF	Rhodes et al., 'Injection of Expression Vectors Contianing Antigen Genes', Modern Approaches to New Vaccines, Including Prevention of Aids', p. 51 (9/16-9/29/92)					
ALL	AG	Friedman et al., 'Modulation of the Virulence of an H3N2 Influenza Virus', Modern Approaches to New Vaccines, Inclding Prevention of Aids, p. 68 (9/16-9/29/92)					
SHA	АН	Edgington, S. 'PCR: Catching the Next Wave', Bio/Tech., 10: p 137-140 (1992)					
SH	AI	Takahashi H., 'Induction of Broadly Cross-Reactive", Science, 255: p 333-336 (1992)					
FJ.	ΑJ	Cudd & Nicolau, 'Intracellular Fate of Liposome", Biochimica et Biophysica Acta, 845, p 477-91 (1985)					
LA H	AK	Will et al., Infectious Hepatitis B Virus' Proc. Natl. Acad. Sci 82: p 891-895 (1985)					
AH	AL	Feitelson, et al. ' A Chronic Carrierllike' J. of Virology, 62: No. 4, p 1408-1415 (1988)					
IJ4	AM	Sureau et al. 'Cloned Hepatitis Delta" J. of Virology, 63: No. 10, p 4292-4297 (1989)					
TH	AN	Seeger, et al. 'In Vitro Recombinants of Group', J. of Virology 61: No. 10, p 3241-3247 (1987)					
AHA.	AO	Sprengel et al. 'Homologous Recombination between', virolog, 159: p 454-456 (1987)					

Examiner Signature	Jara L. Harrey	Date Considered	2/25/	05

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I	NFORMATION I	DIS	CLOSURE	Application Number	09/835,694		
C '	TATEMENT BY	A 1D	DI ICANT	Filing Date	April 16, 2001		
3	IAIEMENIDI	AF	PLICANI	First Named Inventor	J.J. Donnelly		
				Group Art Unit	1636		
	(use as many sheets	as n	ecessary)	Examiner Name	Garvey, Tara L.		
Sheet	3	of	8	Attorney Docket Number	18972PCA		

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.						
SH.	ΑP	Sprengel et al. 'Cloned Duck Hepatitis B" J. of Virology, 52: No. 3, p 932-937 (1984)						
J.H	AQ	Will et al., 'Cloned HBV DNA Causes Hepatitis', Nature, 299: p 740-742 (1982)						
AL M	AR	Pestka et al. 'AntimRNA: Specific', Proc. Natl. Acad. Sci., 81: p 7525-7528 (1984)						
A A	AS	Dubensky et al. 'Direct Transfection of Viral' Pro. Natl. Acad. Sci., p 7529-7533 (1984)						
SA	ΑT	Felgner, et al., 'Cationic liposome-mediated transfection' Nature, 337: No. 6205 p 387-388 (1989)						
SH	AU	Brigham et al. Rapid Communication: In Vivo Transfection', Am. J. Med. Sci., 298: p 278-281 (1989)						
Sty.	AV	Furth et al., 'Gene Transfer into Somatic' Analytical Biochem. 205: p. 365-368 (1992)						
SLM	AW	Seeger et al. 'The Cloned Genome of Group Squirrel' Proc. Natl. acad. Sci., 81: p 5849-5852 (1984)						
SJA	AX	Grosse et al. Flow Cytofluorometric Investigation of the Uptake', Bioch. et Biophysica Acta, 805: p 354-361 (1984)						
SJA	AY	Wu and Wu, 'Receptor-mediated Gene Deliver' J. Bio. Chem. 263: NO. 29, p. 14621-14624 (1988)						
SA.	AZ	Wang and Huang, 'pH Sensitive Immunoliposomes Mediate', Proc. Natl. Acad. Sci., 84: p7851-7855 (1987)						
ALM.	AAA	Nicolau et al., 'In vivo Expression of Rat Insulin' Proc. Natl. Acad. Sci. 80: p. 1068-1072 (1983)						
AH.	AAB	Felgner, P.L. 'Particulate Systems and Polymers', Advanced Drug Delivery Reviews, 5: p. 1-25 (1990)						
25 M	AAC	Nabel, et al. 'Site-Specific Gene Expression Vivo' Science, 249: p. 1285-1288 (1990)						
SH	AAD	Brigham et al. 'Expression of Prokaryotic Gene', Amer. J. Resp. Cell and Mol. Bio., 1: p. 95-100 (1989)						

Examiner Signature	Sara L. Harrey	Date Considered	2/25/05	
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I	NFORMATION	DIS	CLOSURE	Application Number	09/835,694
6	TATEMENT BY	AD	DI ICANT	Filing Date	April 16, 2001
3	IAIEWENIDI	Ar	PLICANI	First Named Inventor	J.J. Donnelly
				Group Art Unit	1636
	(use as many sheets as necessary)			Examiner Name	Garvey, Tara L.
Sheet	4	of	8	Attorney Docket Number	18972PCA

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.						
SH	AAE	Holt, et al 'Lipofection of dDNAs in the Embryonic' Neuron. 4: p 203-214 (1990)						
SH	AAF	ng et al. 'Genetic Immunization is aSimple' Nature, 356: p. 152-154 (1992)						
J.J.	AAG	Friedmann, T. 'Progress Toward Human Gene Therapy', Science 244: p. 1275-1281 (1989)						
SIA	ААН	Felgner, et al. 'Lipofection: A highly efficient, lipid-mediated', Proc. Natl. Acad. Sci., 84: p. 7413-7417 (1987)						
SLY	AAI	Wolff, et al. 'Direct Gene Transfer into Mouse' Science, 247: p. 1465-1468 (1990)						
Stoph	AAJ	Louis and Verma, 'An Alternative Approach to Somatic', Proc. Natl. Acad. Sci., 85: p. 3150-3154 (1988)						
SH	AAK	Malone et al. 'Cationic Liposome-mediated RNA Transfection', Proc. Natl. Acad. Sci., 86: p. 6077-6081 (1989)						
Affi	AAL	Robinson et al. 'Use of Direct DNA Inoculations', Abst. of Papers Pres. at 1992 meeting, p. 91-92 (1992)						
Affr	AAM	Physicians' Desk Ref., p. 1232-1234 (1993)						
SA	AAN	Marantz Henig, R., 'New Vaccine Method', NY Times Medical Science, pg C3 (1993)						
AH.	AAO	'Genes in a Bottle', Bio/Technology, 10: p. 132-136 (1992)						
SH.	AAP	Kaneda et al., 'Increased Expression of DNA', Science, 243: p. 375-378 (1989)						
194	AAQ	Hansen et al. Strong Expression of Foreign Genes', FEBS, 290: p. 73-76 (1991)						
Af Ji	AAR	Lin et al. 'Expression of Recombinant Genes' Circulation, 82: No. 6, p. 2217-2221 (1990)						
Sta	AAS	Gammelin et al. 'Two Subtypes of Nucleoproteins' Virology, 170: p. 71-80 (1989)						

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Examiner Signature	Lara L. Harrey	Date Considered	2/25	105

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-	NFORMATION	DIS	CLOSURE	Application Number	09/835,694			
	STATEMENT BY	, A T	DI ICANIT	Filing Date	April 16, 2001			
2	IAIEWENI DI	(A.)	PLICANI	First Named Inventor	J.J. Donnelly			
				Group Art Unit	1636			
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Sheet	5	of	8	Attorney Docket Number	18972PCA			

	NON PATENT LITERATURE DOCUMENTS								
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St. A.	AAU	Jiao et al., 'Direct Gene Transfer into Nonhuman' Human Gene Therapy, 3: p. 21-33 (1992)							
Af H	AAV	Chapman et al. 'Effect of Intron A from Human Cytomegalovirus' Nucleic Acids Research, 19: No. 14, p. 3979-3986 (1991)							
SIA	AAW	Yang et al., 'In Vivo and In Vitro Gene Transfer' Proc. Natl. Acad. sci. USA, 87: p. 9568-9572 (1990)							
SH	AAX	DeWet et al. 'Firefly Luciferase Gene: Structure', Molec. and Cell Biol. 7: No. 2, p. 725-737 (1987)							
154	AAY	Johnston, S.A. 'Biolostic Transformation: Microbes to Mice', Mature 346: p. 776 (1990)							
ALM.	AAZ	Germain, R. 'The Ins and OUts of Antigen', Nature, 322: p. 687-689 (1986)							
Affi	ABA	Bevan, M. 'Class Discrimination in the World of Immunology', Nature, 325: p. 192-194 (1987)							
A.A.	ABB	Acsadi, et al. Human Dystrophin Expression in MDX' Nature, 352, p. 815-818 (1991)							
AJ JA	ABC	Giguere et al. 'Identification of a Receptor for the Morphofen Retinoic Acid', Nature, 330: p. 624-629 (1987)							
SH	ABD	Ono et al., Plasmid DNAs Directly Injected', Neuroscience Ltrs., 117: p. 259-263 (1990)							
FL4	ABE	Whang et al., 'Expression of the Epstein-Bar Virus', J. of Virology, 61: No. 6, p. 1796-1807 (1987)							
Af M	ABF	Gorman et al., 'Evolution of Influenza A Virus Nucleoprotein' J. of Virology, 65: No. 7, p. 3704-3714 (1991)							
Th	ABG	Wu et al., Targeting Genes: Delivery and Persistent Expression', The J. of Biol. Chem., 264: No. 29, p. 16985-16987 (1989)							
Sty	АВН	Ulmer et al. 'Heterologous Protection Against Influenza', Science, 259: p. 1745-1749 (1993)							

Examiner Sara L. Has	Date Considered	2/25/05

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I	NFORMATION	DIS	CLOSURE	Application Number	09/835,694	
C	TATEMENT BY	7 A 10	DI ICANIT	Filing Date	April 16, 2001	
3	IAIEWENI DI	AP	PLICANI	First Named Inventor	J.J. Donnelly	
				Group Art Unit	1636	
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Sheet	6	of	8	Attorney Docket Number	18972PCA	

		NON PATENT LITERATURE DOCUMENTS
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AL	АВЈ	Friend, T., 'Gene Therapy May Aid Heart Ailments', USA Today, p. 1-D, Feb. 11 (1991).
ALU.	ABK	Soriano P., et al. 'Targeted and Nontargeted Liposomes', Proc. Natl. acad. Sci.: 80: p. 7128-7131 (1983)
ALM.	ABL	1993 Conference, Washington, D.C. Bioeast, p. 57-58 Jan. 24-27 (1993)
HH.	ABM	Waldholz, M. 'Merck Team Develops a New Type', Wall Street Jour. Tech & Med. Section, March 19, (1993)
AL41	ABN	Wolff et al. Long-Term Persistance of Plasmid DNA', Human and Molec. Gen., 1: No. 6, p. 363-369 (1992)
J.H	ABO	Wolff and Lederberg, Human Gene Therapy, Vol. 5, pp. 469-480 (1994)
SJA	ABP	Yakubov, et al., 'Mechanism of Oligonucleotide Uptake by Cells', Proc. Natl. acad. sci, Vol. 86 pp. 6454-6458, (1989)
SH	ABQ	Selden, et al., 'Expression of the Human Growth Hormone', Proc. Natl. Acad. Sci., Vol. 85, pp. 8241-8245 (1988)
SH	ABR	Loyter et al., 'Mechanisms of DNA Uptake by Mammalian Cells', Proc. Natl. Acad. Sci., Vol. 79, pp. 422-426 (1982)
ISM,	ABS	Chen et al. 'High-Efficiency Transofrmation of Mammalian Cells', Mol. cell. Biol., Vol 7, No. 8, 1987
SH	ABT	Zhu et al. 'Systemic Gene Expression After Intravenious DNA', Science, Vo. 261, 1993
jy.	ABU	Wang, et al. 'Gene Inoculation Generates Immune Responses', Proc. Natl. Acad Sci., Vo. 90, pp 4156-4160, May 1993
Å#	ABV	Cheng et al. In Vivo Promoter Activity and Transgene Expression', Proc. Natl. acad. Sci., Vol 90, pp 4455-4459, 1993
A. Y.		Nicolau et al, Liposomes as Carriers for In Vivo Gene Transfer and Expression', Methods in Enzymology, Vol. 149, pp 157-177, 1987

Examiner Signature	ara L. Harrey	Date Considered	2/25/05

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I	NFORMATION	DIS	CLOSURE	Application Number	09/835,694	
c	ТАТЕМЕМТ В	מגע	DI ICANIT	Filing Date	April 16, 2001	
3	STATEMENT BY APPLICANT			First Named Inventor	J.J. Donnelly	
	.	_	,	Group Art Unit	1636	
	(use as many shee	ts as n	ecessary)	Examiner Name	Garvey, Tara L.	
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		NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.									
J.J.	ABX	Maninno et al., 'Liposome Mediated Gene transfer', Biotechniques, Vol. 6, No. 7, pp 682-690, 1988									
A.J.	ABY	Berton et al. 'Antigenic Structure of the Influenza B Virus Hemaglutinin;, J. of Vir., Vo 52, No. 3, pp 919-927, 1984									
ALM.	ABZ	Rota et al. 'Comparison of the Immune Response to Variant Influenza', Virology, Vol. 161, No. 2, pp 269-275, 1987									
J.	ACA	Rodriguez et al. 'Mammalian Expression Vectors, Vectors, pp 467-492, 1988									
AL.	ACB	Physician's Desk Reference, 46th Ed., Medical Economics, NJ, pp 1204-1206, 1992									
AM	ACC	Brown et al., 'Assessment of Retrovirus-Expressed Nucleoprotein', Avian Diseases, Vol. 35, pp 515-520, 1992									
IJA	ACD	Benvenisty and Reshef, 'Direct Introduction of Genes into Rats' Proc. Natl. Acad. Sci., 83: p 9551-9555 1986									
A.A.	ACE	Verma, I., 'Gene Therapy', Scientific American, p 68-84, 1990									
SHA	ACF [*]	Luckow and Schultz, 'Cat Constructions with Multiple Unique', Nucleic Acids Research, p. 5490, 1987									
SA	ACG	Kitsis, et al. 'Hormonal Modultion of a Gene Injected', Proc. Natl. Acad. sci. USA, 88: p. 4138-4142, 1991									
SH	ACH	Crystal, R.G., Transfer of Genes to Humans: Early Lessons and Obstacles to Success', Science, Vol. 270, pp 404-410, 1995									
A.H.	ACI	Rota et al. 'Comparison of inactivated, live and recombinant DNA vaccines against influenza virus in a mouse model', Virus Research, Vol. 16, pp 83-94, 1990									
JA.	ACJ	Air et al. 'Conservation and Variation in Influenza Gene Sequences', UCLA Symp. Mol. Cell Biol., Vol. 21, pp 29-44, 1981									
J/h	ACK	Whalen R.G. 'DNA Vaccines for Emerging Infectious Diseases: What if?', Emerging Infectious Diseases', Vol 2, No. 3, pp 168-175, July-Sept 1996									

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]]	INFORMATION	DIS	CLOSURE	Application Number	09/835,694		
	STATEMENT BY	7 A 1D1	DI ICANIT	Filing Date	April 16, 2001		
	SIAIEMENI DI	AF	FLICANI	First Named Inventor	J.J. Donnelly		
				Group Art Unit	1636		
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	FOREIGN PATENT DOCUMENTS								
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	Ŧ	л₽	63-283578						
	b	лР	62-51992						
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